



# Kenanga Investment Corporation Limited

Company Reg. No PB 300  
4<sup>th</sup> Floor, Landmark Building  
No.385, Galle Road, Colombo 03  
Tel No : 011-5238441

## KNOW YOUR CUSTOMER (KYC) PROFILE (For Individuals)

This information is sought under the Prevention of Money Laundering Act No.6 of 2005, Financial Transaction Reporting Act No.6 of 2006 and the Rules for the Securities Industry issued by the Financial Intelligence unit of the Central Bank of Sri Lanka.

### PERSONAL DETAILS

Full Name( Mr./Mrs./Miss/Dr./Rev.) :

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Address:.....

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Contact Details

Date of Birth: .....

Tele Office:..... Mobile:.....

Nationality: .....

Residence:..... Fax:.....

NIC/PP No: .....

E mail:.....

Status of Residency Address: (Premises)

Owner  Lease/ Rent  Parent's  Friends/Relatives  Official  Board/ Lodging  Other

Specify.....

Preferred form of Communication

Telephone  Mobile  Email  Fax

### EMPLOYMENT DETAILS

Employees

Self Employed / Professionals

Occupation:..... Nature of Business/ Profession:.....

Name of the Organization:..... Registered Address:.....

Address of the Organization:.....

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Equity Market Investment Experience Yes  No

If Yes, length of Experience Less than 6 months  6 months to 2 Years  More than 2 Years

### EXPECTED INITIAL INVESTMENT (RS.)

Rs. 500,000 to Rs 1,000,000  Rs. 1,000,000 to Rs.2,000,000

Rs. 2,000,000 to Rs.5,000,000  Rs. 5,000,000 to Rs.10,000,000

Rs. 10,000,000 to Rs 25,000,000  over Rs. 25,000,000

**PREFERRED FREQUENCY OF SUBSEQUENT INVESTMENT**

Monthly  Quarterly  Semiannually  Annually

**EXPECTED SUBSEQUENT INVESTMENT (RS.)**

Rs. 25,000 to 50,000	<input type="checkbox"/>	Rs. 50,000 to Rs. 100,000	<input type="checkbox"/>
Rs.100, 000 to Rs.200, 000	<input type="checkbox"/>	Rs. 200,000 to Rs. 500,000	<input type="checkbox"/>
Rs. 500,000 to Rs.1,000,000	<input type="checkbox"/>	Over Rs. 1,000,000	<input type="checkbox"/>

**SOURCES OF FUNDS**

Sales and business turnover	<input type="checkbox"/>	Contract proceeds	<input type="checkbox"/>	Investment Proceeds/Savings	<input type="checkbox"/>
Sale of Property / Assets	<input type="checkbox"/>	Gift	<input type="checkbox"/>	Membership Contribution	<input type="checkbox"/>
Commission Income	<input type="checkbox"/>	Family remittances	<input type="checkbox"/>	Export Proceeds	<input type="checkbox"/>
Salary Profit Income	<input type="checkbox"/>	Donations/Charities (local/foreign)	<input type="checkbox"/>	others (Specify).....	<input type="checkbox"/>

**Gross Annual Income from all sources (Optional)**

Up to Rs.500, 000	<input type="checkbox"/>	Rs.500, 000–Rs. 1 Million	<input type="checkbox"/>	Rs. 1 Million–Rs.2 Million	<input type="checkbox"/>
Rs. 2 Million–Rs.3 Million	<input type="checkbox"/>	above 3 Million	<input type="checkbox"/>		

**Other Connected Business / Professional activities & business Interests:**

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**DECLARATION**

I/We hereby declare that the details furnished above are true and correct to the best of my / our knowledge and belief and I /we undertake to inform you of any changes therein, immediately. In case any of the above information found to be false or untrue of misleading or misrepresenting. I am / we are aware that I / we may be held liabilities for it.

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Name of the Applicant

Signature

Date